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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown Group Art Unit No.: 2815
 Applicant(s): Tongbi Jiang Filing date: April 6, 2000
 Serial No.: 09/544,822 For (title): UNDERFILL PROCESS

COMMUNICATION TRANSMITTAL

Commissioner for Patents
 Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
☒ Second Preliminary amendment.
☐ Amendment or other communication in response to the non-final office action mailed.
☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.
☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).
☐ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

Remarks:

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3	EXTRA
Total claims	62	- 62	= 0 x 18.00	= 0.00
Indep. claims	10	- 10	= 0 x 78.00	= 0.00
First presentation of a multiple dep. claim (+260.00)				
SUBTOTAL				
Reduction for small entity - 50% of subtotal*				
TOTAL ADDITIONAL FEE (subtotal minus any reduction)				0.00

*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.
 2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
 3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

James R. Duzan

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Date: January 22, 2001
 Enclosures: As identified above
 JRD/djp

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tongbi Jiang

Serial No.: 09/544,822

Filed: April 6, 2000

For: UNDERFILL PROCESS

Examiner: Unknown

Group Art Unit: 2815

Attorney Docket No.: 4241US (99-0408)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 22, 2001
Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Deidra Pfeil
Typed/printed name of person whose signature is contained above

SECOND PRELIMINARY AMENDMENT

Box Non-Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced patent application on the merits, entry of the amendments as set forth herein is respectfully solicited.

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IN THE SPECIFICATION:

Pursuant to 37 C.F.R. §§ 1.121 and 1.125 (as amended to date) please enter the substitute specification in clean form and including paragraph numbers [0001] through [0059] and Abstract attached hereto as Appendix A. A marked-up substitute specification to clearly identify amendments to the specification as required by 37 C.F.R. § 1.121(b)(ii) is attached hereto as Appendix B.

IN THE CLAIMS:

Claims 2, 4, 9-12, 14, 15, 17, 22-27, 30-34, 37, 39-41, 43-45, 47-51, 53-58 and 61 have been amended herein. All of the pending claims 1 through 62 are presented, pursuant to 37 C.F.R. §§ 1.121(c)(1)(i) and 1.121(c)(3), in clean form in Appendix C attached hereto. Please enter these claims as amended. Also attached as Appendix D is a marked-up version of the claims amended herein pursuant to 37 C.F.R. § 1.121(c)(1)(ii).



Attorney Docket: 4241US (99-0408)

REMARKS

No new matter has been added. The Applicant again requests entry of the amendments as set forth in the Appendices hereto prior to examination of the application on the merits.

Respectfully submitted,

James R. Duzan

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